

RIVM report 260751 004

Dutch DisMod for several types of cancer

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This investigation has been performed by order and for the account of Board of Directors of RIVM, within the framework of project 260751, Chronic Disease Modelling.

Abstract

Using a two-state Markov model we checked the consistency of incidence, prevalence and mortality data for specific types of cancer so as to construct an appropriate data set for chronic disease modelling. The data sources used were IKZ for regional cancer prevalence rates and survival proportions, NKR for national incidence rates, and CBS for national registered cause-specific mortality rates. Consistency checks were made for lung, rectal, colon, stomach, oesophagus, breast and prostate cancer. Using national incidence rates and regional survival proportions and a two-state transition model, we calculated prevalence and population mortality rates. We compared the calculated rates to empirical ones. For most types of cancer the calculated prevalence rates fitted well to the empirical ones, except for lung cancer (women), breast and prostate cancer. We found several possible explanations for these differences. The first one was double counts in case of multiple tumours. Based on data we corrected for double counts for breast cancer. The second explanation was differences between regional and national morbidity figures. These differences were clearly present for lung cancer and prostate cancer. The third explanation was past trends in disease incidence. Correcting for these trends resulted in smaller differences for all three cases. The calculated mortality rates fitted well to the empirical ones for the lethal types of cancer, but less well for those types of cancer with better prognosis, i.e. rectal and breast cancer. Next to double counts and past trends with respect to breast cancer these differences could be explained by excess mortality due to competing causes of death.

Preface

In the report 'Dutch DisMod. Constructing a set of consistent data for chronic disease modelling' (Hoogenveen et al., 2000) the results have been described of consistency checks on incidence, prevalence and mortality data for several chronic diseases including lung cancer. It was suggested that making the same type of analyses might be useful for several other types of cancer. We did not realise that the necessity of these analyses would become of current interest so soon after the publication of the report. New model calculations were needed to estimate the public health effects of different trends in food consumption on behalf of the Dutch Committee on Trends in Food Consumption. Therefore we needed good data on incidence and prognosis of several types of cancer. For lung cancer we already concluded that Dutch national incidence, and regional prevalence and survival data provided by NKR and IKZ respectively resulted in consistent model results for men. This makes a good starting point to do the same analyses for other types of cancer.

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Samenvatting

Om te komen tot consistente verzamelingen van invoergegevens voor modellen van verschillende belangrijke vormen van kanker, hebben we de consistentie geanalyseerd van gegevens over incidentie, prevalentie en sterfte. Het gebruikte model betreft een zogenaamd Markov model met twee toestanden, waarin personen zich kunnen bevinden: met of zonder de ziekte. Dit model beschrijft de prevalentie en ziekte-specifieke sterfte van een cohort over de tijd als functie van de incidentie en ziekte-gerelateerde 'excess' sterfte. Regionale prevalentie- en overlevingsgegevens waren afkomstig van IKZ, landelijke incidentiegegevens van NKR, en landelijke geregistreerde sterftcijfers van CBS. We hebben de analyses uitgevoerd voor long-, endeldarm-, dikke darm, maag-, slokdarm-, borst- en prostaatkanker.

Eerst zijn de gegeven relatieve overlevingspercentages omgezet in 'excess mortality rates'. Vervolgens is het model gebruikt om op basis van gegeven incidentie- en 'excess mortality' cijfers prevalentie- en sterftcijfers te berekenen. Deze zijn vergeleken met de empirische geregistreerde prevalentie- en oorzaak-specifieke sterftcijfers. De berekende en empirische prevalentiecijfers kwamen telkens goed overeen, behalve met name voor longkanker (vrouwen), borst- en prostaat-kanker. We hebben verschillende mogelijke verklaringen voor deze verschillen gevonden. De eerste mogelijke verklaring was dubbeltellingen in geval van multiple tumoren. Voor borstkanker waren hierover gegevens beschikbaar en is hiervoor gecorrigeerd. De tweede mogelijke verklaring was verschillen tussen regionale en landelijke ziektecijfers. Voor de drie genoemde gevallen waren de verschillen tussen de regionale en landelijke incidentiecijfers inderdaad in orde van grootte dezelfde als die tussen de empirische en (want gebaseerd op landelijke incidentiecijfers) berekende prevalentiecijfers. De derde mogelijke verklaring was trends over de tijd in de incidentie. Correctie hiervoor leidde inderdaad tot kleinere verschillen voor de genoemde kankervormen. Ook de berekende en empirische sterftcijfers kwamen telkens goed overeen. Uitzonderingen hierop waren de minder letale vormen van kanker, i.e. endeldarm- en borstkanker. Hier werd de empirische sterfte overschat. Naast de eerdergenoemde mogelijke verklaringen van dubbeltellingen en trends over de tijd voor borstkanker kunnen hier ook concurrerende doodsoorzaken een rol spelen. Deze mogelijke verklaring voor borstkanker en endeldarmkanker is ook in de literatuur gevonden.

De conclusie luidt dat rekening houdend met dubbeltellingen in geval van multiple tumoren, verschillen tussen regionale en landelijke cijfers, trends over de tijd de incidentie- en relatieve overlevingscijfers van IKZ, prevalentiecijfers van NKR en sterftcijfers van CBS consistent zijn in de context van het 'two-state' transitie-model. Er zijn aanwijzingen dat voor dikke darm, borst- en prostaatkanker concurrerende doodsoorzaken een rol spelen, maar literatuurgegevens hierover moeten nauwkeurig geïnterpreteerd worden.

Summary

A two-state transition model has been used to describe the disease-related mortality numbers and the change of disease prevalence numbers of a cohort over time using age-specific disease incidence rates, disease-related excess mortality rates, and mortality rates for other causes. Using this model we have checked the consistency of incidence, prevalence and mortality data for specific types of cancer so as to construct an appropriate data set for chronic disease modelling. Consistency checks have been made for lung, rectal, colon, stomach, oesophagus, breast and prostate cancer. We used cancer prevalence rates and relative survival proportions from one regional data source, and nationally registered incidence rates and cause-specific mortality rates.

First, we transformed the relative survival proportions have been transformed to disease-related excess mortality rates. Then, the two-state transition model was used with age-specific incidence rates and disease-related excess mortality rates as input data to calculate prevalence and disease-specific mortality rates of the cohort over time. We compared the calculated prevalence and mortality rates to registered prevalence and mortality rates.

For most types of cancer the calculated prevalence rates fitted well to the empirical ones, except for lung cancer (women), breast and prostate cancer. We have found several possible explanations for these differences. The first account was double counts of tumours. For breast cancer we had data on the proportion of newly diagnosed cases that already had a tumour in the other breast. The second account was differences between regional and national morbidity figures. For the three cases the differences between the regional and national incidence rates were approximately equal to the differences between the empirical regional prevalence rates and calculated (because based on empirical national incidence rates) national prevalence rates. The third explanation was past trends in disease incidence. As a result of increasing incidence rates, prevalence rates calculated from current incidence rates overestimate current prevalence rates. Correcting for reported past trends in disease incidence resulted in smaller differences for lung cancer (women), breast and prostate cancer.

For most types of cancer also the calculated disease-specific mortality rates fitted well to the registered cause-specific mortality rates. For the less lethal types of cancer, i.e. rectal and breast cancer, the calculated mortality overestimated the registered one. Apart from the double counts and time trends in case of breast cancer another explanation was found, excess mortality due to competing death risks. This explanation has also been reported in the literature.

1. Introduction

In the report 'Dutch DisMod. Constructing a set of consistent data for chronic disease modelling' (Hoogenveen et al., 2000) the results have been described of consistency checks on several chronic diseases, including lung cancer. The consistency of disease incidence, prevalence and mortality data was checked within the chronic diseases model context. The method of consistency checking has been described in chapter 3. In this study we applied the same method to several other types of cancer, including cancer of the lung, rectum, colon, stomach, oesophagus, breast, and prostate.

The resulting internally consistent incidence, prevalence and mortality rates will be used for new applications of the chronic diseases model. In the first place we will use them to calculate trends in incidence and mortality rates for several types of cancer from different scenarios on trends in the consumption of fruit and vegetables, saturated fat and trans fatty acids on behalf of the Committee on Trends in Food Consumption of the Dutch Health Council. Other model applications will follow.

In chapter 2 we describe the data we used in the calculations. In chapter 3 the method of consistency checks is explained. Special attention is given to aspects that have to be taken into account when making the consistency checks, i.e. regional versus national morbidity figures, time trends, and multiple tumours. In the chapters 4-10 the results of the consistency checks for each of the different types of cancer are described. In each chapter the specific data assumptions, the results of the consistency checks and a discussion of these results are presented. First, we start with the simple consistency checks, i.e. with regional prevalence and survival data and national incidence data, without adjustment for time trends or multiple tumours (except for breast cancer). For lung cancer (women), breast and prostate cancer we made additional calculations including adjustment for time trends. In chapter 11 the results are discussed in general and some conclusions are drawn. The model and some data are described in more detail in separate appendices. In Appendix 1 the mathematical model equations are described. In Appendix 2 the differences between the regional and national incidence figures are presented. In Appendix 3 the cancer and cardiovascular diseases prevalence rates among the newly diagnosed cancer patients have been compared to the population rate values.

2. Data

The data sources that have been used were similar to the ones that we used for the previous consistency checks (Hoogenveen et al., 2000).

Table 1: Data sources used

Source	Data
Netherlands Cancer Registry (NKR)	disease incidence rates
Eindhoven Cancer Registry	disease prevalence rates and relative survival proportions
Statistics Netherlands (CBS)	cause-specific population mortality rates
VTV-document (Maas et al., 1997)	trends in disease incidence, prevalence and cause-specific mortality rates, and general background information
literature	background information on co-morbidity and mortality

The Eindhoven Cancer Registry started in 1955 as part of a programme for nationwide cancer registration in the area of south-eastern part of the region North Brabant. In 1970 the registry covered a population of about 1 million inhabitants. Within the framework of the Comprehensive Cancer Centre South (IKZ) more than 2 million people have been covered since 1988. The nine regional Comprehensive Cancer Centres have formed the national Association of Comprehensive Cancer Centres in 1989, the Netherlands Cancer Registry (NKR), that started in 1989. Since then national incidence numbers have been available on a yearly basis. Survival rates have been calculated from the follow-up of past newly diagnosed cases. Because the national registry started just in 1989 only regional survival data have been available. Regional cancer prevalence numbers have been calculated by combining the information from past incidence numbers and follow-up data. Since the assessment of the prevalence numbers is a very time-consuming process, they have been presented for some years only so far. Statistics Netherlands (CBS) provides national mortality numbers, i.e. the number of deceased persons with the cancer type registered as the primary cause of death.

We have used 1994 incidence, 1993 prevalence and 1994 cause-specific mortality rates, specified by gender and 5-year age-classes. The survival data used were from period 1988-1992. In case of clear trends in survival we have extrapolated the survival data to values for year 1994. The survival figures used were specified by three age-classes: <60, 60-74, and ≥75

years. We have made analyses for several cancer of the lung (ICD 162), rectum (ICD 154), colon (ICD 153), stomach (ICD 151), oesophagus (ICD 150), breast (ICD 174-175), and prostate (ICD 185). We did not distinguish between different stages of these cancers. As a consequence, when we use the term 'cancers of the same type', we use the characterisation by ICD-code given above.

3. Method

Introduction

We have shown that an important problem in chronic disease modelling is the lack of good data on the survival of persons with chronic diseases in the general population (Hoogenveen et al., 2000). We estimated the excess mortality rates for these persons from data on disease incidence and prevalence, using a two-state transition model. To validate these mortality rates, we compared the calculated mortality rate ratios and disease duration times to figures from literature. For lung cancer we concluded that regional survival and prevalence data and national incidence data fitted well together for men. Based on this conclusion we used in this study survival data to calculate prevalence rates to make the consistency checks, instead of using prevalence data to calculate survival rates (see also chapter 11 'Discussion').

Chronic diseases model

The model we used is a two-state transition model (see Appendix 2). The model describes the change of total population and disease prevalence numbers for a birth cohort for one disease. Disease-specific incidence and excess mortality rates were the model input. Disease-specific prevalence and population mortality rates were the model output. We compared the calculated disease prevalence rates to empirical values, and the calculated mortality rates to the registered cause-specific rates.

Mortality is defined proportional to the disease prevalence in the model. Thus, the 1-year survival rates used in the model are the average of the 1-year survival rates for all patients. However, the survival data refer to newly diagnosed cases. As 1-year survival rates generally increase for increasing past disease time period, the 1-year survival rates for prevalent cases are generally higher than those for newly diagnosed cases. We have therefore assumed that the 1-year survival rates used in the model can be approximated by the fifth power root of the 5-year survival rates. The absolute 5-year survival rates have been calculated using the relative 5-year survival proportions provided by IKZ and the 1994 Dutch total mortality rates (Appendix 1).

Time trends

The model equations have been derived assuming time-constant disease incidence and survival rates. In other words, we have assumed a steady-state situation. As a result, the calculated prevalence figures for a birth-cohort coincide with those for the current population. For several types of cancer, past trends in disease incidence have been reported, and so the steady-state assumption was violated. As a result, prevalence rates calculated from current incidence rates overestimate current prevalence rates in case of increasing incidence rates over time. To correct for past trends, we have calculated for each age-year (cohort) separately the current prevalence rate from past incidence rates explicitly taking account of the change in

the disease incidence over time (see Appendix 1). We assumed that the yearly relative change of the age-specific incidence rates over time was the same for all ages.

Differences between regional and national figures

We have used national cancer-specific incidence rates, but regional prevalence rates and survival proportions. For some types of cancer the regional incidence rates were clearly different from the national ones (see Appendix 2). One important explanation is different smoking rates. We had no data to compare regional and national survival. We did not expect large differences between regional and national survival rates, for example because of standard cancer treatment procedures and, for breast cancer, standard national screening protocols. However, screening for breast cancer started later in the Southeast than in the rest of the Netherlands. Assuming identical survival rates and time-constant parameters, we expect the differences between the calculated and empirical prevalence rates to be in the same direction and magnitude as the differences between the national and regional incidence rates.

Multiple tumours

The incidence rates provided by NKR refer to newly diagnosed tumours, and not to new patients. To deal with this problem we have to distinguish between cancers of the same type and those of different types (using the characterisation by ICD-code), and between prevalence and mortality. When the secondly diagnosed tumour is of the same type as the first one, the person is counted twice, and both disease prevalence and mortality numbers are overestimated. We have corrected for double counts for breast cancer. When the secondly diagnosed cancer is of another type than the first one, the person is counted as a case for both types of cancer. In case of disease prevalence this is not problematic, since prevalence has been defined as having at least the specific disease. However, co-morbidity may complicate the interpretation of the model results and data with respect to mortality (see also Appendix 3). Persons having a type of cancer may have an increased risk for other chronic diseases, for example another type of cancer, compared to those without this cancer. Moreover, this other chronic disease might be more lethal. As a result, part of the excess mortality of specific cancer types might be caused by this other disease, or stated otherwise, might be coded with this disease as the primary cause of death. This phenomenon is called 'dependent competing death risks'.

4. Lung cancer

Since prognosis of lung cancer has not changed much during the last decades, we have used the 1988-1992 relative 5-year survival proportions: 18% (age<60), 13% (age 60-74) and 5.7% (age≥75) (Coebergh et al., 1995). Given lung cancer incidence rates and relative survival proportions, we calculated prevalence and population mortality rates, and compared them to empirical rate values (Figure 1 for men, Figure 2 for women).

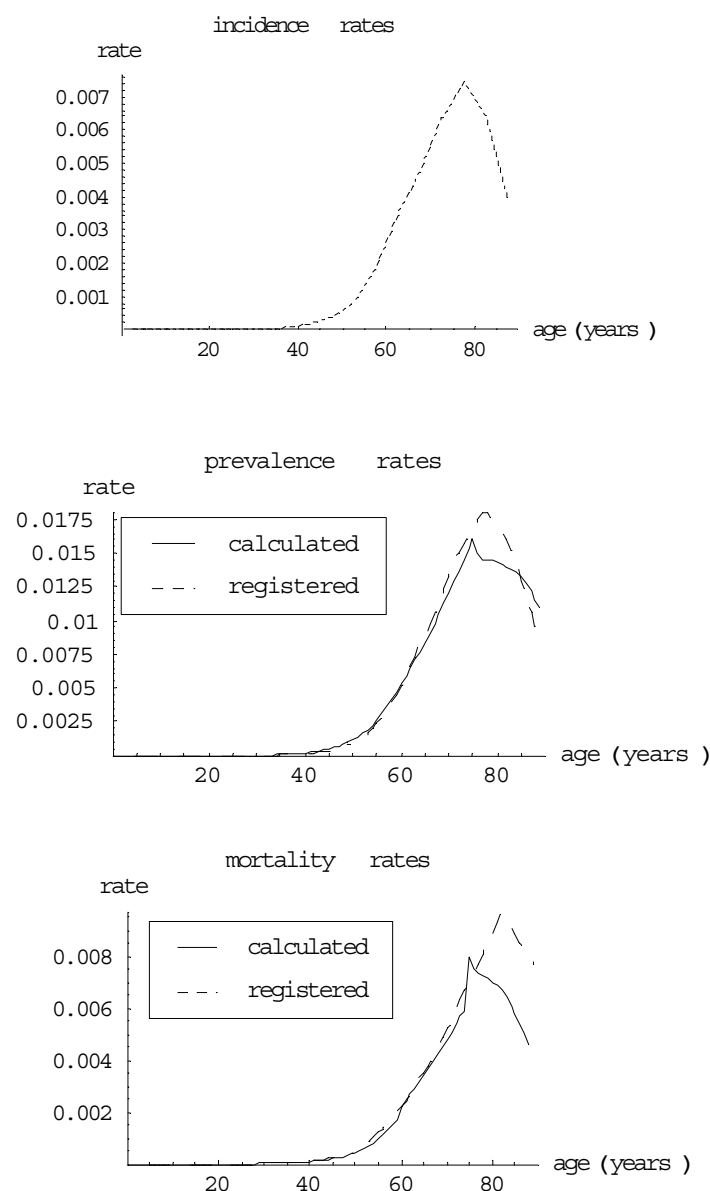


Figure 1: incidence, prevalence and mortality rates for lung cancer for men

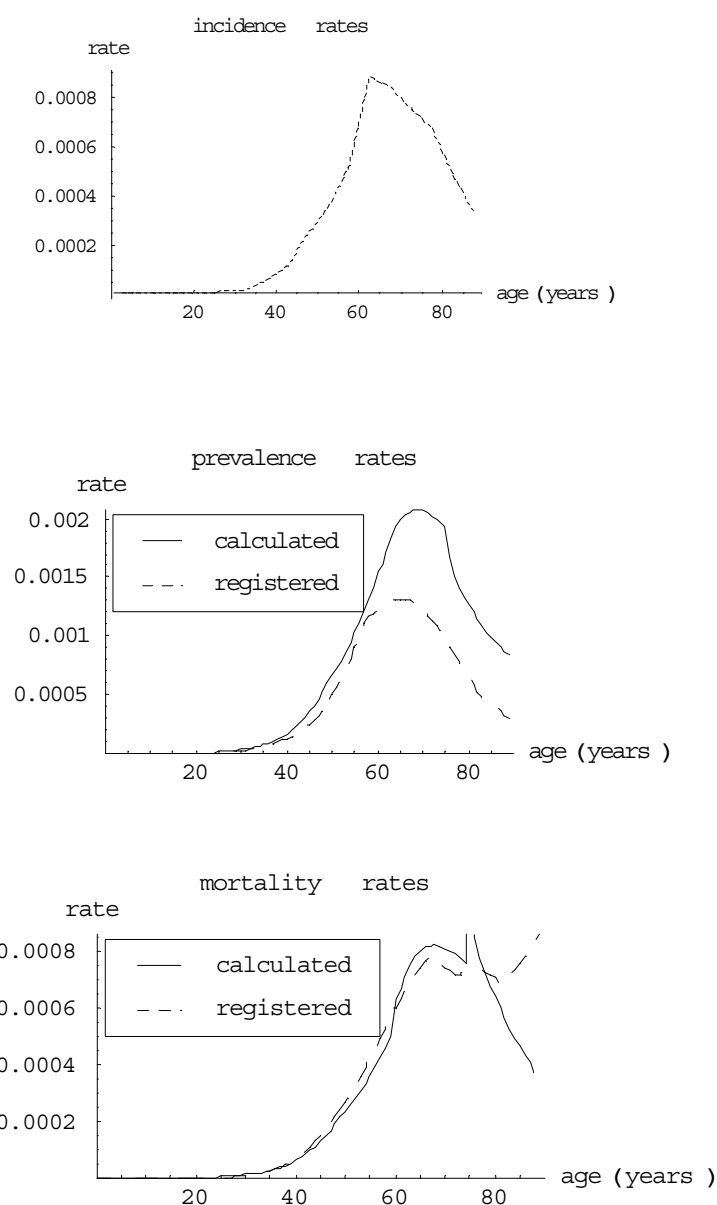


Figure 2: incidence, prevalence and mortality rates for lung cancer for women

The figures show that the calculated lung cancer prevalence and mortality rates fitted well to the empirical ones, except for elderly persons and the prevalence rates for women. The smaller calculated prevalence rates for men compared to the empirical ones for elderly men can be explained by the smaller national incidence rates compared to the regional ones (Appendix 2). The sharp change of the curve at age 75 results from the change of relative survival proportion from 13% to 5.7%. The large differences between empirical and calculated prevalence rates for men can be explained mainly by the regional incidence rates being smaller than the national ones (Appendix 2). This difference also explains why the calculated mortality rates are almost equal to the nationally registered cause-specific mortality rates. Moreover, incidence rates have increased for Dutch women as a result of increasing

smoking rates in the past, especially for age 60-75 years between 1968 and 1992. Consequently, the calculated prevalence rates overestimated the empirical rates. Following Coebergh et al. (1995) we assumed that incidence rates have increased with yearly 5% over the past and that survival rates were constant. The calculated lung cancer prevalence rates adjusted for this trend are presented in Figure 3.

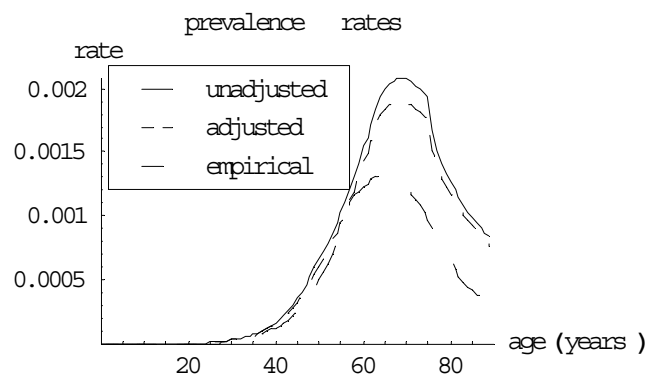


Figure 3: calculated prevalence rates for lung cancer for women adjusted for incidence time trends

The adjustment for incidence time trends resulted in only slightly better fits. This is not very surprising, since the disease duration of lung cancer is relatively small.

5. Rectal cancer

The relative 5-year survival proportions were almost independent of age, and have increased almost linearly since 1955 (Coebergh et al., 1995). We extrapolated the time trend for survival resulting in an approximate value of 60% for both men and women for year 1994. Given rectal cancer incidence rates and relative survival proportions, we calculated prevalence and mortality rates, and compared them to empirical rate values (Figure 4 for men, Figure 5 for women).

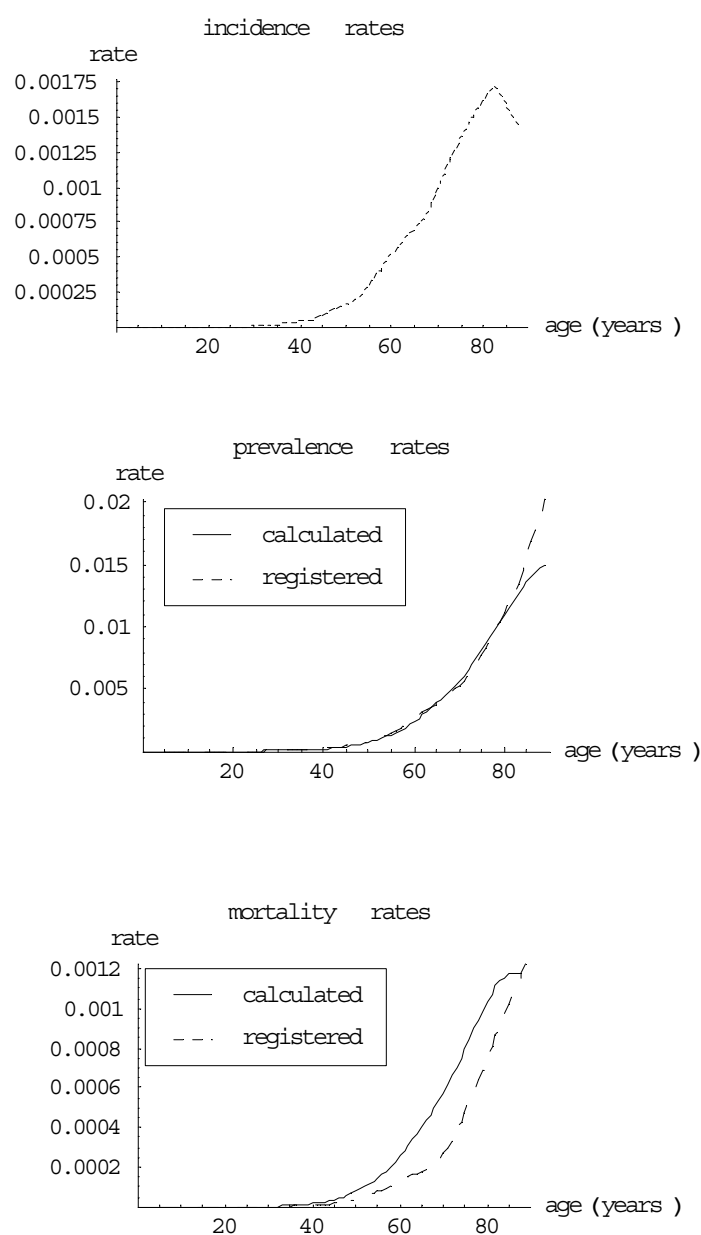


Figure 4: incidence, prevalence and population mortality rates for rectal cancer for men

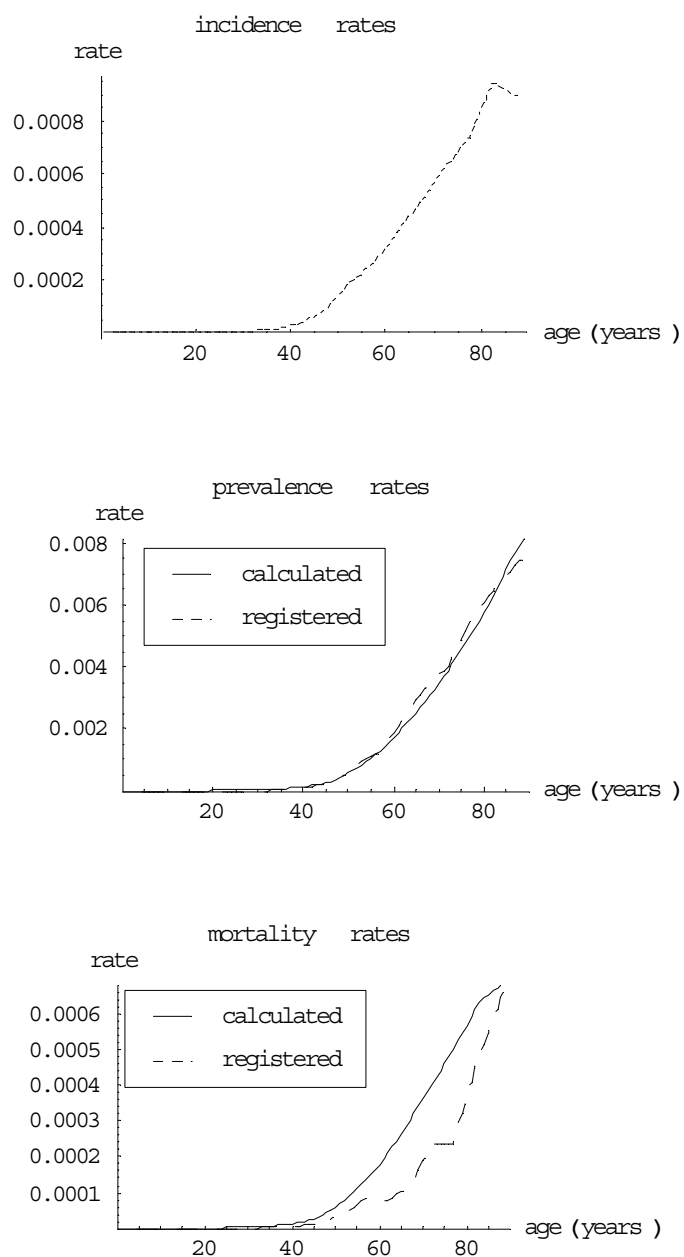


Figure 5: incidence, prevalence and population mortality rates for rectal cancer for women

The calculated rectal cancer prevalence rates fitted very well to the empirical rate values. The regional incidence rates are indeed similar to the national ones. However, the mortality rates were overestimated. This could be the result of excess mortality to other causes. This conjecture was confirmed by several studies that showed that patients with rectal cancer have a great risk of having other types of cancer (Manton et al., 1991; Wagner et al., 1993; Coebergh et al., 1995; Garcia-Anguiano et al., 1995; Payne&Meyer, 1995).

6. Colon cancer

The characteristics of colon cancer are similar to those of rectal cancer. The Dutch relative 5-year survival proportions have also increased almost linearly during the last decades from 49% in 1955-1969 to 57% in 1987-1992, and were almost independent on age. We again extrapolated the time trend in survival resulting in an approximate value of 60% for both men and women. Given colon cancer incidence rates and relative survival proportions, we calculated prevalence and mortality rates, and compared them to empirical rate values (Figure 6 for men, Figure 7 for women).

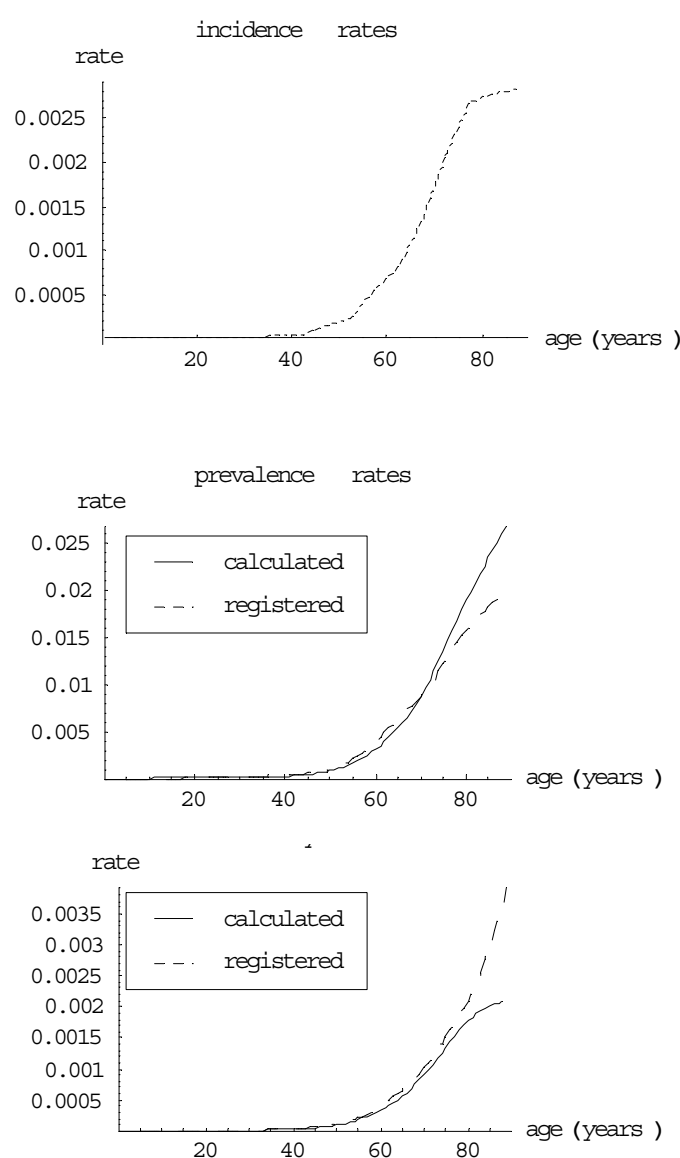


Figure 6: incidence, prevalence and population mortality rates for colon cancer for men

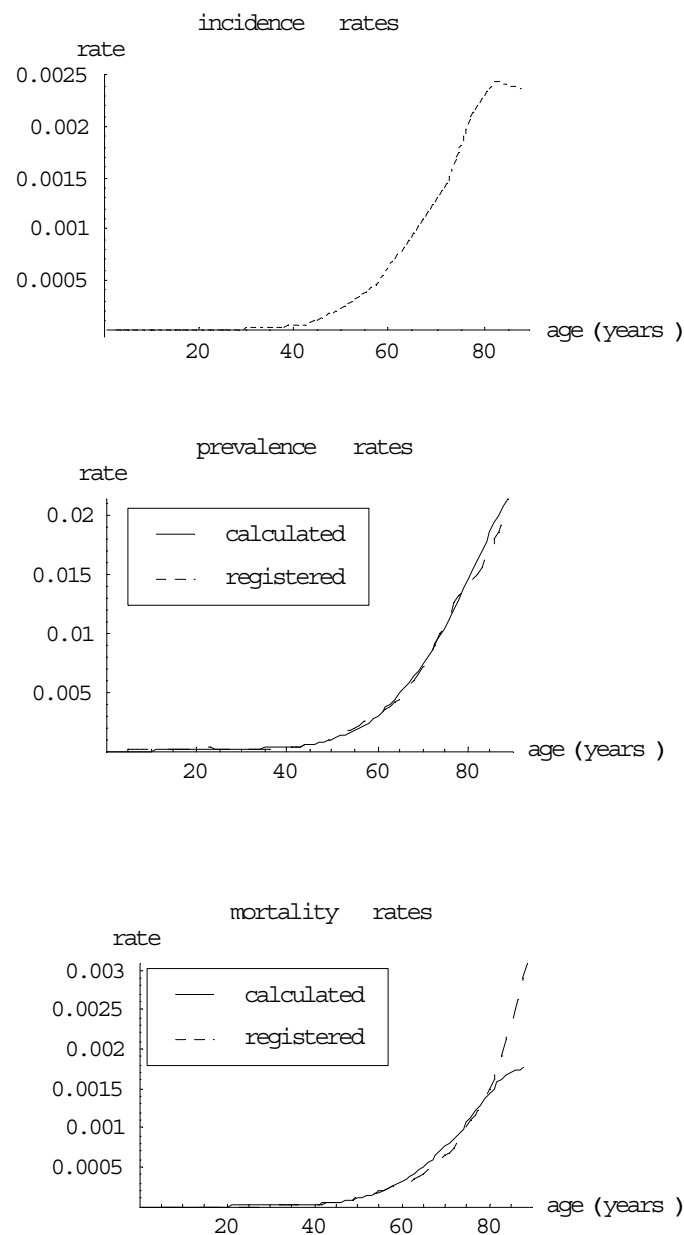


Figure 7: incidence, prevalence and population mortality rates for colon cancer for women

Both the calculated prevalence and (contrary to rectal cancer) mortality rates fitted well to the empirical data, except for elderly people. This difference between rectal and colon cancer is remarkable, since the prognoses are almost identical. This difference was reported by Percy et al. (1981), who found an over-reporting of colon cancer mortality and under-reporting of rectal cancer mortality.

7. Stomach cancer

Dutch stomach cancer incidence rates have decreased from 1992-1995. The registered population mortality rates have decreased for a longer period. The prevalence rates have increased with 10% between 1990 and 1993. Regional relative survival proportions showed an improvement around 1980, but only for ages below 70 years. In absolute numbers, the prognosis of stomach cancer patients is still bad. Following IKZ data the relative 5-year survival proportion is 25% for ages below 75 years, and 20% for higher ages. Given stomach cancer incidence rates and relative survival proportions, we calculated prevalence and mortality rates, and compared them to empirical rate values (Figure 8 for men, Figure 9 for women).

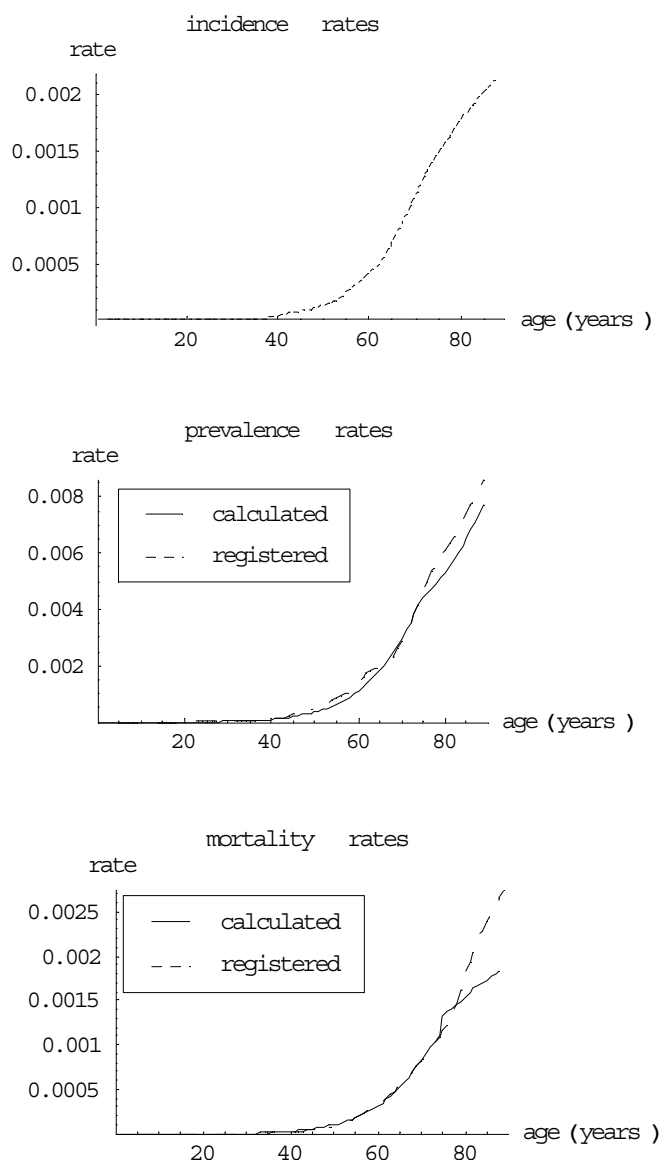


Figure 8: incidence, prevalence and population mortality rates for stomach cancer for men

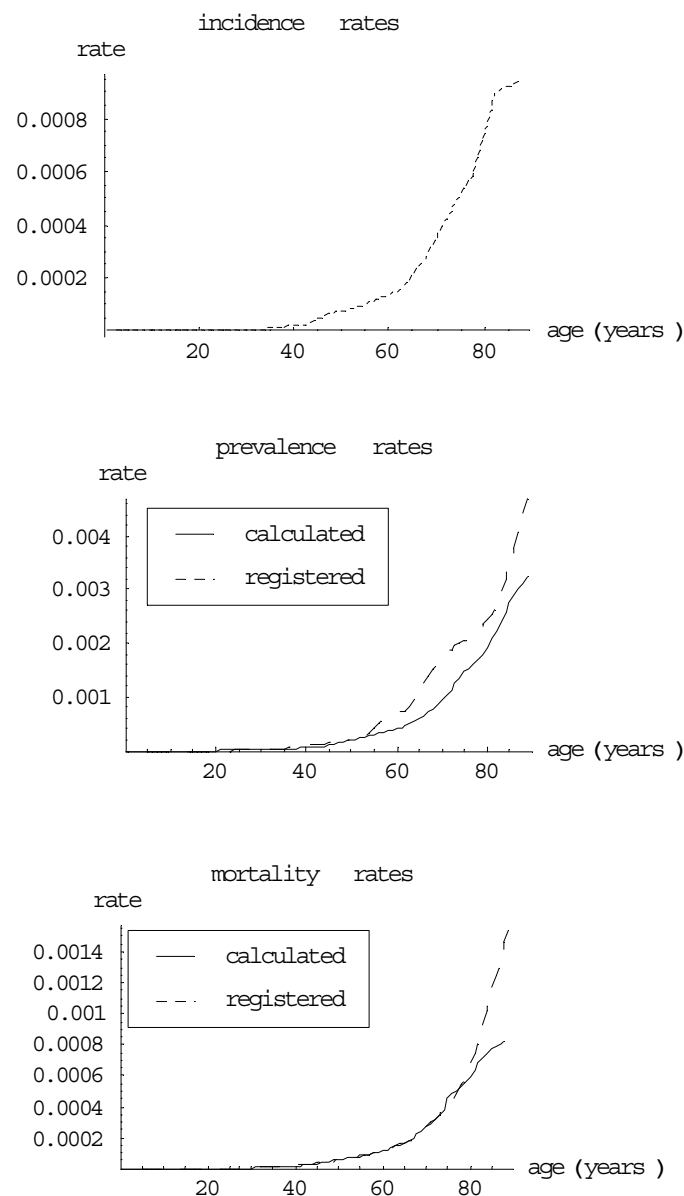


Figure 9: incidence, prevalence and population mortality rates for stomach cancer for women

The calculated stomach cancer prevalence and population mortality rates fitted well to the empirical rate values, except for the prevalence rates for women. The latter difference can be explained by the difference between regional and national incidence rates (Appendix 2). The good fit on mortality relates to the high lethality of stomach cancer.

8. Cancer of oesophagus

Incidence and mortality rates of oesophageal cancer have increased with approximately 5% yearly in the Netherlands. The prognosis of cancer of oesophagus is bad compared to most other types of cancer. As a result we used 1-year instead of 5-year relative survival proportions to describe the excess mortality. Following (Coebergh et al., 1995) the relative survival proportion is 35%. Given incidence rates and relative survival proportions, we calculated prevalence and mortality rates, and compared them to empirical rate values.

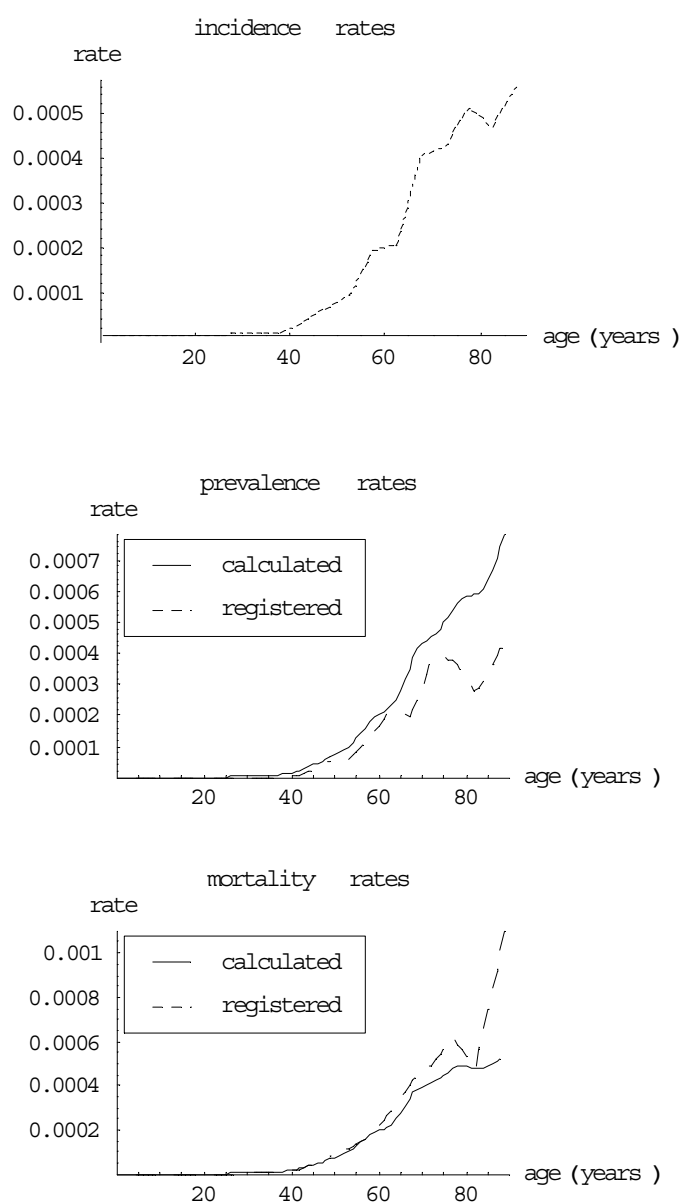


Figure 10: incidence, prevalence and population mortality rates for cancer of oesophagus for men

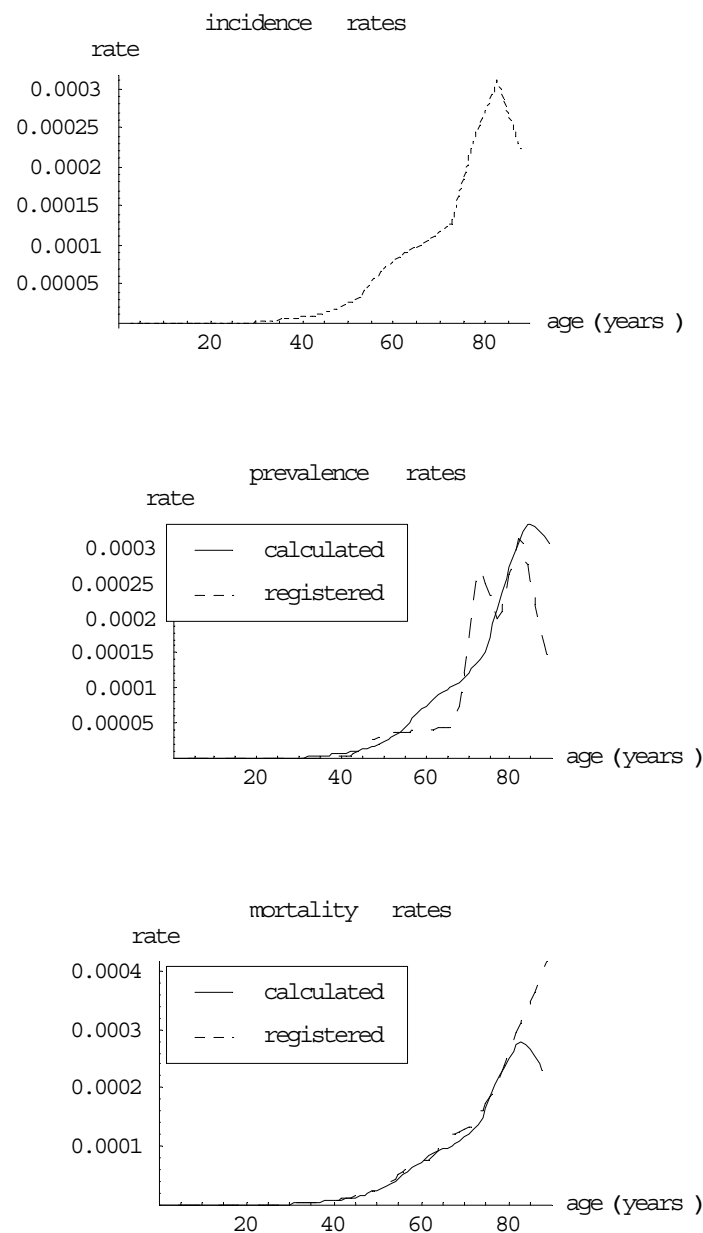


Figure 11: incidence, prevalence and population mortality rates for cancer of oesophagus for women

The prevalence rates were slightly overestimated for men. The main explanation we found was that the regional incidence rates were smaller than the national ones resulting in empirical prevalence rates that were smaller than the calculated ones (Appendix 2). The calculated mortality rates fitted well to the data. Due to the bad prognosis of cancer of oesophagus the effect of excess mortality from competing causes of death is probably small.

9. Breast cancer

Since breast cancer incidence rates are very small for men, we only considered breast cancer for women. Dutch breast cancer incidence rates have steadily increased in the past years with approximately yearly 1% for all ages until 1990 and 3% afterwards (Coebergh et al., 1995; Voogd et al., 1997). The relative survival proportions have increased during 70's and 80's, but since then the increase has stopped (Coebergh et al., 1995). The relative survival proportions were almost equal for all ages. We have assumed that 8% of all new breast cancer cases refer to persons already having had a tumour in the other breast (Voogd et al., 1997). Following Coebergh et al. (1995) the 5-year relative survival proportion used is 76%. Given incidence rates and survival proportions, we calculated prevalence and mortality rates and compared them to empirical rate values (Figure 12).

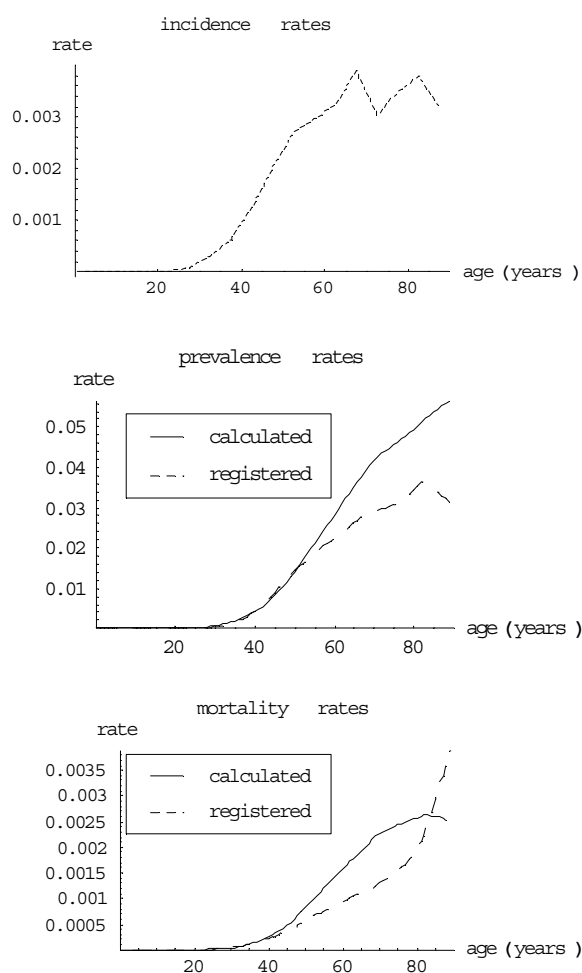


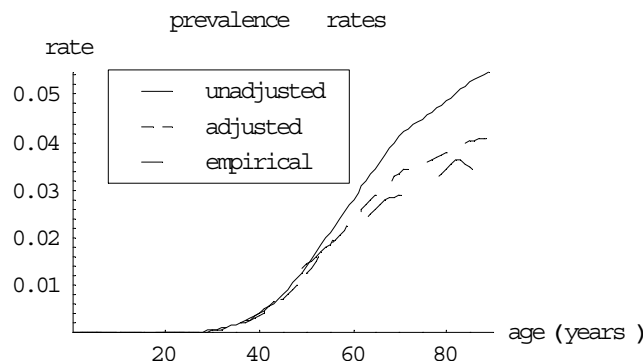
Figure 12: incidence, prevalence and population mortality rates for breast cancer for women

Note: incidence includes 8% second tumours, calculated prevalence and mortality adjusted for second tumours

The calculated breast cancer prevalence and mortality rates are larger than the empirical ones. One possible explanation for the overestimation of the prevalence rates is the difference between regional and national incidence rates for middle ages (Appendix 2). Another explanation for the overestimation of both prevalence and mortality rates is the increase of the incidence rates over the past. As we already have explained in chapter 3 ('Method') the result of this trend is that the calculated prevalence and mortality rates based on current incidence rates overestimate current empirical rates. We have calculated new prevalence rates by adjusting the past national incidence rates for the time trend, assuming that the time trend was equal for all ages. According to Coebergh et al. (1995) we have assumed constant survival rates.

Figure 13: calculated prevalence rates for breast cancer for women adjusted for incidence time trends

The newly calculated prevalence rates adjusted for incidence time fitted better to the empirical ones. The relative difference between the calculated and empirical prevalence rates is of the same magnitude as the relative difference between national and regional incidence rates (Appendix 2). Another possible explanation for the differences between the calculated



and empirical mortality rates is again excess mortality due to competing causes of death, although one has to take into account mortality due to other causes. It has been shown that patients with breast cancer have an increased risk of already having other types of cancer (Coebergh et al., 1995; Appendix 3). Brown et al. (1993) reported that breast cancer patients have an overall non-cancer relative mortality risk of 1.09 compared to women without breast cancer. Several studies reported on the proportion of breast cancer patients that die with breast cancer registered as the primary cause of death. For approximately 89% of Dutch mortality cases with breast cancer registered on the death certificate, it was the primary cause of death (Statistics Netherlands, 2000). Mueller and Jeffries (1975) concluded that 80-85% of all women who die after developing breast cancer die of their breast cancer. Fish et al. (1998) found in a follow-up study that almost 90% of women with breast cancer die from breast

cancer. However, these figures do not differ much from the proportions that one would expect (Appendix 1): 95% until age 60, 90% until age 70, and 81% until age 80 years.

Next to the possible explanations given above also incompleteness of prevalence might also be an explanatory factor for the higher estimates. The registered prevalence numbers are based on past incidence registrations, and in the early years the cancer registry was less complete than in later years (Schouten et al., 1993).

10. Prostate cancer

Coebergh et al. (1995) have presented age-specific 5-year relative survival proportions for 1980-1992. The general conclusion from these trends is that for younger ages survival has hardly improved, but for higher ages an improvement of survival since 1980 has been found. We have assume that the 5-year relative survival proportions are 58% (age<60), 64% (60-74), and 58% (>75). Given prostate cancer incidence rates and relative survival proportion, we have calculated prevalence and mortality rates, and compared them to empirical rate values (Figure 14).

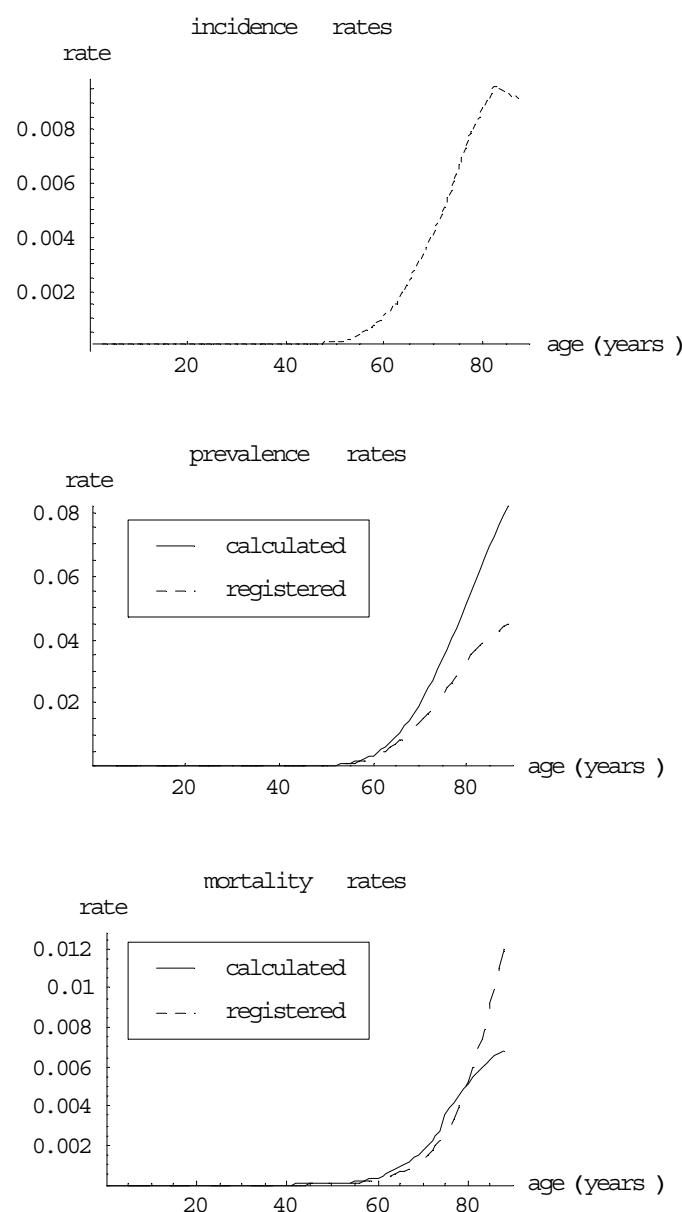


Figure 14: incidence, prevalence and population mortality rates for prostate cancer

The calculated prevalence rates were larger than the empirical ones. The differences between regional and national incidence rates seem to be small, when we take account of the differences in measurement time points (Appendix 2). A more important explanation is that incidence rates have increased in the past, resulting in current prevalence rates being 'too small' compared to current incidence rates. Following Coebergh et al. (1995) we have assumed that incidence rates have increased with yearly 5% over the past and that survival rates were constant. We have calculated new prevalence rates by adjusting the past national incidence rates for the time trend, assuming that the time trend was equal for all ages (Figure 15).

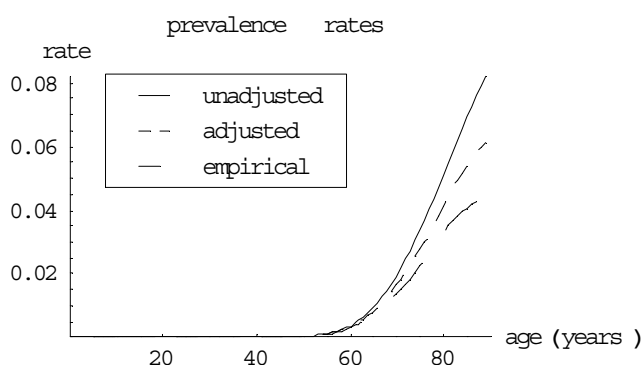


Figure 15: calculated prevalence rates for prostate cancer for men adjusted for incidence time trends

The calculated prevalence rates adjusted for past incidence time trends were indeed smaller. After adjustment for incidence time trends, the calculated mortality is of the same magnitude as the empirical mortality, although we expected a small overestimation according to literature. Brown et al. (1993) reported that prostate cancer patients have an overall non-cancer relative mortality risk of 1.14 compared to men without prostate cancer. Coebergh et al. (1995) have shown that newly diagnosed prostate cancer cases have high risks of having also cardiovascular diseases. Satariano et al. (1998) found that 54% of 584 deceased prostate carcinoma patients died of their prostate carcinoma. Newschaffer et al. (2000) even found a percentage of only 39%. These percentages are lower than the expected ones (see Appendix 1): 93% until age 60, 81% until age 70 and 67% until age 80.

11. Discussion and conclusions

The regional relative 5-year survival proportions combined with national incidence rates resulted in calculated prevalence rates that generally fitted well to empirical regional prevalence rates. The differences were especially small for cancer of the lung (men), rectum, colon, stomach, and oesophagus. Relatively large differences were found for lung cancer (women), rectal cancer (mortality), breast cancer and prostate cancer. These differences could be explained by multiple tumours (breast cancer), time trends in incidence (lung cancer, breast cancer and prostate cancer), or with respect to the prevalence by differences between regional and national incidence rates (lung cancer, breast cancer and prostate cancer). For mortality the model fits were often also good, except for lung cancer (women), rectal, breast and prostate cancer. Next to the first two explanations given above, mortality differences could also be explained by excess mortality due to competing causes of death. The possible explanation of competing causes of death was confirmed by literature, although one has to take account of the mortality due to other causes in case of cancers that are not highly lethal. We comment on these explanations in more detail below.

Multiple tumours

Correction for multiple tumours of the same type is easy by subtracting the proportion of tumours that are not the first one from the incidence rates. For breast cancer it was shown that 7-9% of the newly diagnosed cases had another tumour in the other breast. For other types of cancer with possibly multiple tumours of the same type (colorectal cancer) we had no data. When the secondly diagnosed type of cancer is different from the first one (following our ICD-based characterisation), it has no effect on the prevalence rates. The reason is that the disease prevalence rates describe the population fractions with the specific cancer type but maybe also other types of cancer. The effect on the mortality rates is probably larger (see 'Competing causes of death')

Differences between regional and national figures

Cancer incidence rates were available on both regional and national level, prevalence rates and relative survival proportions only on regional level. For some types of cancer clear differences between regional and national incidence rates have been found: lung cancer (women), stomach cancer (women), oesophageal cancer, and breast cancer for middle ages. One important possible explanation is different smoking patterns. For all types of cancer where regional and national incidence rates clearly were different, the differences between the empirical regional and calculated national (because based on national incidence rates) prevalence rates were similar.

Time trends

Time trends in disease incidence and/or survival rates result in time trends in disease prevalence, however with different rates of change (see Appendix 2). The effect of time trends has clearly been shown for lung cancer (women), breast and prostate cancer. Adjusting for incidence time trends highly improved the fit of the calculated prevalence rates.

Competing causes of death

Registered cause-specific mortality statistics describe the numbers of deceased persons specified by the primary cause of death. IKZ cancer-specific survival rates describe the prognosis of newly diagnosed patients. Even though for these patients the cancer type is the most likely cause of future death, they are exposed to all other causes of death during their disease period. For rectal cancer and breast cancer the calculated excess mortality rates are higher than the registered cause-specific ones, which may point at excess mortality from competing causes of death. Empirical information on competing causes of death can be found from data on co-morbidity and from registered primary and secondary cause of death mortality numbers. Although the prognoses for rectal and colon cancer do not differ much, our results suggest that colon cancer is more often reported as cause of death than rectal cancer, as was confirmed by literature. When interpreting the number of deceased people with a specific disease registered as the primary or secondary cause of death, one has to take account of the background mortality due to other causes.

Highest ages

For the highest ages there is the twofold problem of data and model inaccuracies. The data showed large variations due to small numbers. Moreover, determining the cause of death can be difficult for these ages. The model results for the highest ages were not very reliable, since we used extrapolation to get year-specific numbers for ages above 80 years instead of interpolation for lower ages.

Numerical errors

The incidence-prevalence-mortality (IPM) model describes the relation between age-specific disease incidence, prevalence and mortality rates. Given two of the three variables, the other one can be calculated. In the first analyses (Hoogenveen et al., 2000) excess mortality rates were calculated from given disease incidence and prevalence rates. In the analyses that have been described in the current report prevalence rates have been calculated from given disease incidence and excess mortality rates. The former model application is numerically much less stable than the latter one. The reason is that mortality rates have to be calculated from differences between prevalence rates for successive age-years. Therefore, in terms of error propagation and model sensitivity, calculating prevalence rates is highly favourable to calculating incidence or mortality rates, using the IPM-model structure.

Disease duration

We assumed in the model that the mortality was independent on disease duration. However, the empirical relative survival proportions from IKZ and other studies have shown that for many types of cancer the prognosis improves with disease duration time. That means, the 1-year survival rates are lower for newly diagnosed cases than for cancer patients that have survived a given time period. The consistency analyses have been made to construct an appropriate data set for chronic disease modelling. These models are used to describe the public health effects of trends in or interventions on disease risk factors in the context of multiple risk factors and diseases. For this aim the assumption of duration-independent mortality is valid. If one wants to distinguish different disease stages so as to describe (for example) the effects of stage-specific trends or interventions, the assumption is too crude.

Our final conclusion is that for the types of cancer selected the incidence data from NKR and prevalence and survival data from IKZ fit well together when taking account of differences between regional and national figures, time trends and multiple tumours. For the less lethal types of cancer, i.e. rectal, breast and prostate cancer, we found differences between disease-related excess mortality and registered cause-specific mortality. When combining the different types of cancer in one model one has to take explicitly account of this difference.

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Appendix 1 Mathematical model equations

The derivation of the mathematical model equations has been described in detail in Hoogenveen et al. (2000). We only summarised the results here, and extended the model to calculate the effect of time trends in incidence.

Conceptual model structure

The model we used is based on the same conceptual model structure that underlies other incidence-prevalence-mortality (IPM) models (see Figure 16). It is a two-state transition model. For a given disease The two states distinguished are 'disease-free' and 'with the disease' (prevalent). The changes of the population numbers in both states are described by transition-rates. These rates are the disease incidence rates (disease-free → prevalent), disease-related excess mortality rates (prevalent → deceased), and mortality rates for all other causes (disease-free → deceased, and prevalent → deceased). We assumed that there is no remission. Note that models including remission are called IPRM models. The mortality rate of the prevalent cases can be written as the sum of the mortality rate for persons without the disease and the disease-related excess mortality. In other words, the mortality rates for all other causes are the same for persons with and without the disease.

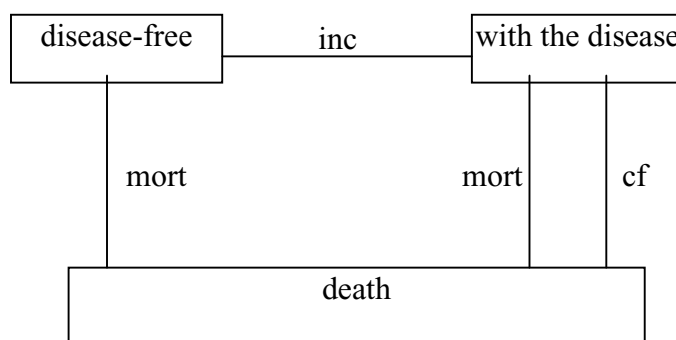


Figure 16: Incidence-prevalence-mortality model structure

Notes: inc: incidence rate, mort: mortality rate for other causes, cf: disease-related excess mortality rate

Change of prevalence rates and population mortality rates over age

The change of the prevalence rates over age for given incidence and excess mortality rates can be calculated from equations of the change of the total population and disease prevalence numbers. We used a continuous time-parameter, and assumed that all newborns are disease-free.

$$d/dt \text{PREV}_i(a) = \text{inc}_i(a) (\text{POP}(a) - \text{PREV}(a)) - (\text{cf}_i(a) + \text{mort}_{\text{oth}}(a)) \text{PREV}_i(a); \text{PREV}_i(0) = 0$$

$$d/dt \text{POP}(a) = - \text{mort}_{\text{oth}}(a) \text{POP}(a) - \text{cf}_i \text{PREV}_i(a)$$

$$d/dt \text{prev}_i(a) = d/da \{ \text{PREV}_i(a) / \text{POP}(a) \} =$$

$$\{ d/da \text{PREV}_i(a) \} / \text{POP}(a) - \text{prev}_i(a) \{ d/da \text{POP}(a) \} / \text{POP}(a) =$$

$$\text{inc}_i(a) (1 - \text{prev}_i(a)) - \text{cf}_i(a) \text{prev}_i(a) (1 - \text{prev}_i(a)); \text{prev}_i(0) = 0$$

The time-continuous differential equation has been made time-discrete by using a 1-year timestep:

$$\text{prev}_i(a+1) - \text{prev}_i(a) = \text{inc}_i(a) (1 - \text{prev}_i(a)) (1 - \frac{1}{2} \text{cf}_i(a)) - \text{cf}_i(a) \text{prev}_i(a) (1 - \text{prev}_i(a))$$

The term $\frac{1}{2} \text{inc}_i(a) \text{cf}_i(a)$ describes the two-event rate for a disease-free person to both get the disease and die (not from other causes) within one year. The population disease-specific mortality rates were been defined by:

$$\text{mort}_i(a) = \text{prev}_i(a) \text{cf}_i + \frac{1}{2} \text{inc}_i(a) (1 - \text{prev}_i(a)) \text{cf}_i(a)$$

with: a	age
i	index of cancer type
POP	total population number
prev, PREV	population prevalence rate and number respectively
inc	1-year incidence rate (defined with respect to total population)
cf	disease-related excess mortality rates
mort_i	population disease-specific mortality rate
mort_{oth}	mortality for all other causes

Compared to the model equations described in Hoogenveen et al. (2000) we included a term describing the mortality of the new cases during the first year after diagnosis in the time-discrete model version. This was done because the excess mortality rates for cancer are generally so high that the probability of two transitions in one year cannot be neglected, contrary to most other chronic diseases. The excess mortality was calculated from the given total mortality rates and relative 5-year survival proportions as follows:

$$\text{relsurv}_{i,1}(a) = \text{surv}_i(a) / \text{surv}(a) = \{ 1 - \text{mort}_{\text{oth}}(a) - \text{cf}_i(a) \} / \{ 1 - \text{mort}_{\text{tot}}(a) \} \quad \Rightarrow$$

$$\text{cf}_i(a) = (1 - \text{mort}_{\text{tot}}(a)) \{ 1 - \text{relsurv}_{i,1}(a) \} + \text{mort}_i(a) \approx$$

$$(1 - \text{mort}_{\text{tot}}(a)) \{ 1 - \text{relsurv}_{i,5}(a)^{0.2} \} + \text{mort}_i(a)$$

with: mort_{tot} total mortality rates
 mort_i registered cause-specific mortality rate
 $\text{surv}_{i,\text{surv}}$ survival rate for persons with the disease and the total population respectively
 $\text{relsurv}_{i,1}, \text{relsurv}_{i,5}$ relative 1-year and 5-year survival proportions respectively

Time trends of population disease incidence, prevalence and survival rates

The model equations were also used to relate time trends in population disease incidence, prevalence and survival rates. To describe this relation we applied the model to the total population instead of a birth-cohort. Then we found (Hoogenveen et al., 2000):

$$D\text{prev}_i(t; \alpha, \beta) \equiv \text{prev}_i(t; \alpha, \beta) / \text{prev}_i(t; 0, 0) - 1 \approx \alpha \text{inc}_i / \text{prev}_{i0} t - \beta \text{cf}_i (1 - \text{prev}_{i0}) t$$

$$D\text{mort}_i(t; \alpha, \beta) \equiv \text{mort}_i(t; \alpha, \beta) / \text{mort}_i(t; 0, 0) - 1 \approx D\text{prev}_i(t; \alpha, \beta) + \beta t$$

with: t time
 $D\text{prev}_i$ relative change of population prevalence rate over time
 $D\text{mort}_i$ relative change of population disease-specific mortality rate
 $\text{prev}_i(t; \alpha, \beta)$ population prevalence rate after t years
 inc_i population incidence rate; $\text{inc}_i(t) = \text{inc}_{i0} (1 + \alpha)^t$
 α relative 1-year change over time of population incidence rate
 cf_i population excess mortality rate; $\text{cf}_i(t) = \text{cf}_{i0} (1 + \beta)^t$
 β relative 1-year change over time of population excess mortality rate

These results show that the relative change of the population prevalence rate is a fraction of the relative change of the population incidence rate, assuming time-constant excess mortality rates. This fraction is equal to the ratio of disease incidence and prevalence rates. The reverse of this ratio is approximately equal to the mean disease duration time. So the larger the disease duration time, the smaller the ratio of the relative yearly change of disease prevalence rates to the change of disease incidence rates.

Time trends of age-specific disease incidence, prevalence and survival rates

Furthermore, the model equations were used to describe the relation between age-specific time trends in disease incidence, prevalence and survival rates. In this case we have solved the differential equation for each age-year (cohort) separately:

$$d/da \text{ prev}_i(a) = \text{inc}_i(a;s) - \text{cf}_i(a;s) \text{ prev}_i(a) (1 - \text{prev}_i(a)); \text{ prev}_i(0) = 0$$

with: a	age
s	number of years back in time from current time/age point
inc_i	disease incidence rate; $\text{inc}_i(a;s) = \text{inc}_i(a) (1+\alpha)^{-s}$
α	relative 1-year change over time of disease incidence rate
cf_i	excess mortality rate; $\text{cf}_i(a;s) = \text{cf}_i(a) (1+\beta)^{-s}$
β	relative 1-year change over time of excess mortality rate

The relative changes of the disease incidence and excess mortality rate were assumed equal for all ages and years here.

Disease-specific excess and other causes mortality

Several studies on mortality statistics have provided data on the ratio of the number of deceased persons with a specific disease as the primary cause of death to the number with the disease as the primary or secondary cause. We used the model equations to calculate this ratio in the model context. The resulting ratio can be interpreted as the expected value of the above-mentioned ratio given the model parameters.

disease-specific excess mortality until age a

$$\int_s \text{ prev}_i(s) \text{ cf}_i(s) \text{ surv}(s) \, ds$$

total mortality among prevalent cases until age a

$$\int_s \text{ prev}_i(s) (\text{ mort}_{\text{tot}}(s) - \text{ mort}_i(s) + \text{ cf}_i(s)) \text{ surv}(s) \, ds$$

All variables have already been defined, except for $\text{surv}(s)$: the survival proportion at age s. The survival proportion was calculated by the life table method using the total mortality rates mort_{tot} .

Comparison with two other IPM-models

Several other incidence-prevalence-mortality (IPM) models have been described in literature. These models are all based on the same two-state model structure (Figure 15). The models differ mainly in the definition of mortality for prevalent cases, and in the time discretisation of the model equations.

DISMOD model

The DisMod model was developed by CJF Murray at Harvard University. It can be downloaded from the Internet (<http://www.hsph.harvard.edu/organizations/bdu>). The DISMOD model was designed primarily to help disease experts arrive at internally consistent estimates of incidence, duration and case fatality rates for the Global Burden of Disease Study (Murray&Lopez, 1996; DISMOD, 2000). Three aims of DISMOD have been given. (1) When prevalence is known and reasonable assumptions about remission and case fatality can be made, the model can be used to arrive at the incidence iteratively. (2) When incidence and mortality are known, prevalence can be calculated. This helps in establishing consistency between estimates of incidence and prevalence. (3) For diseases that increase the relative risk of dying due to other conditions, for example diabetes, attributable deaths can be calculated.

The terminology used to describe DISMOD is slightly different from ours. 'Our' excess mortality rates are called case fatality rates by DISMOD. We have followed common epidemiological terminology, where case fatality refers to the mortality during the 1st month after disease incidence. DISMOD gives the following description of case fatality, which is quite similar to our definition of excess mortality: 'The excess of mortality rate due to the disease, as well as the increased susceptibility to the force of general mortality', see aim (3) of DISMOD. Extra attention has been given to the relation between rates and probabilities in the context of time-continuous and 1-year time-discrete model formulations.

The model has been described as an incidence-prevalence-remission-mortality (IPRM) model. When setting the remission rate to 0, the mathematical model equations are identical to our model in the time-continuous version.

EUR IPM-model

This model has been introduced in the context of coping with multiple morbidity in a life table (Barendregt et al., 1998). The time-discrete equation for the age-specific disease prevalence rate has been derived by starting from the same time-continuous equations on the change of the population numbers and disease prevalence rates introduced before:

$$d/da \text{ POP}(a) = - \text{mort}_{\text{oth}} \text{ POP}(a) - \text{cf}_i(a) \text{ prev}_i(a) \text{ POP}(a)$$

$$d/da \text{ prev}_i(a) = \text{inc}_i(a) (1 - \text{prev}_i(a)) - \text{cf}_i(a) \text{ prev}_i(a) (1 - \text{prev}_i(a))$$

These equations are solved resulting in:

$$\log\{ \text{POP}(a+1) / \text{POP}(a) \} = \exp\{ - \int_a^{a+1} \text{mort}_{\text{oth}}(s) ds \} \exp\{ - \int_a^{a+1} \text{prev}_i(s) \text{cf}_i(s) ds \}$$

$$\log\{ (1-\text{prev}_i(a+1)) / (1-\text{prev}_i(a)) \} = \exp\{ - \int_a^{a+1} \text{inc}_i(s) ds \} \exp\{ \int_a^{a+1} \text{prev}_i(s) \text{cf}_i(s) ds \}$$

The terms at the right side of the equations can be interpreted as net 1-year age-specific probabilities. That means, probabilities in the absence of competing risks:

$$1 - \exp\left\{ - \int_a^{a+1} \text{mort}_{\text{oth}}(s) ds \right\} = \text{mort}_{\text{oth},a} \quad \text{probability of other causes mortality}$$

$$1 - \exp\left\{ - \int_a^{a+1} \text{prev}_i(t) \text{cf}_i(s) ds \right\} = \text{mort}_{i,a} \quad \text{probability of disease-related mortality}$$

$$1 - \exp\left\{ - \int_a^{a+1} \text{inc}_i(s) ds \right\} = \text{inc}_{i,a} \quad \text{probability of disease incidence}$$

Substituting these probabilities in the equation for the change of the disease prevalence rate results in:

$$\text{prev}_i(a+1) = 1 - (1 - \text{prev}_i(a+1)) (1 - \text{inc}_{i,a}) / (1 - \text{mort}_{i,a}) =$$

$$\{ \text{prev}_i(a) - \text{mort}_{i,a} + \text{inc}_{i,a} (1 - \text{prev}_i(a)) \} / \{ 1 - \text{prev}_i(a) \}$$

The subscript-notation for the age-dependency of the probabilities indicates that the variable refers to the 1-year age-interval $[a, a+1)$. The notation within brackets for the rates indicates a continuous age-dependency. It must be noted that the derivation of the time-discrete model equation given above differs from the more complex one presented in Barendregt et al. The results show that the model of Barendregt et al. is essentially identical to our model, but they have worked it out in another way. The same model equation can also be found in e.g. Roberts&Tobias (2000).

Appendix 2 Regional and national incidence rates

We present the regional and national incidence rates for the types of cancer we have analysed. All rates are standardised to the 1994 Dutch population using 5-year ageclass-specific numbers. The regional input incidence data are from time-period 1988-1992, the national data from year 1994.

Table 2: National and regional incidence rates

cancer of	lung		colon/rectum		stomach		oesophagus		breast	prostate
	men	women	men	women	men	women	men	women	fwomen	men
regional rates per 1000 person-years										
0-14	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
15-24	0.00	0.00	0.01	0.03	0.00	0.00	0.00	0.00	0.01	0.00
25-44	0.05	0.04	0.07	0.03	0.03	0.02	0.00	0.00	0.05	0.00
45-64	1.58	0.35	0.81	0.61	0.29	0.12	0.09	0.02	2.12	0.09
65-74	7.08	0.60	2.79	2.04	1.24	0.45	0.25	0.07	2.95	0.25
75+	8.71	0.35	4.88	3.32	2.35	1.19	0.28	0.15	3.67	0.28
total	1.19	0.16	0.59	0.56	0.25	0.16	0.05	0.02	1.15	0.05
national rates per 1000 person-years										
0-14	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
15-24	0.00	0.00	0.01	0.01	0.00	0.00	0.00	0.00	0.01	0.00
25-44	0.05	0.05	0.05	0.06	0.02	0.01	0.01	0.00	0.55	0.00
45-64	1.37	0.46	0.69	0.60	0.24	0.10	0.13	0.05	2.65	0.49
65-74	5.45	0.80	2.66	1.84	1.07	0.35	0.41	0.12	3.48	4.08
75+	6.50	0.53	4.30	3.13	1.79	0.78	0.51	0.26	3.50	8.61
total	0.95	0.22	0.52	0.53	0.20	0.11	0.08	0.04	1.30	0.72
absolute differences										
0-14	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
15-24	0.00	0.00	0.00	0.02	0.00	0.00	0.00	0.00	0.00	0.00
25-44	0.00	-0.01	0.02	-0.03	0.01	0.01	-0.01	0.00	-0.50	0.00
45-64	0.21	-0.11	0.12	0.01	0.05	0.02	-0.04	-0.03	-0.53	-0.40
65-74	1.63	-0.20	0.13	0.20	0.17	0.10	-0.16	-0.05	-0.53	-3.83
75+	2.21	-0.18	0.58	0.19	0.56	0.41	-0.23	-0.11	0.17	-8.33
total	0.24	-0.06	0.07	0.03	0.05	0.05	-0.03	-0.02	-0.15	-0.67
relative differences										
0-14										
15-24										
25-44	-0.09	-0.22	0.31	-0.48	0.25	1.04	-0.76		-0.91	
45-64	0.16	-0.24	0.18	0.01	0.21	0.18	-0.31	-0.59	-0.20	-0.82
65-74	0.30	-0.25	0.05	0.11	0.16	0.29	-0.39	-0.38	-0.15	-0.94
75+	0.34	-0.35	0.13	0.06	0.32	0.52	-0.45	-0.44	0.05	-0.97
total	0.26	-0.25	0.13	0.05	0.25	0.42	-0.39	-0.46	-0.12	-0.93

Note: relative differences have been calculated in case of zero incidence numbers. Sources: Coebergh et al., 1995, VTV, 1997.

Appendix 3 Co-morbidity rates

In Table 3 we have presented the cancer and cardiovascular diseases prevalence rates in the total population and those among newly diagnosed cancer patients.

Table 3: Cancer and cardiovascular diseases prevalence rates in the total population and those among newly diagnosed cancer patients

newly diagnosed cases						
	other cancer			cardiovascular diseases		
age	45-59	60-74	75+	45-59	60-74	75+
lung	10	15	21	13	28	20
colorectal	9	15	19	4	17	24
stomach	4	12	14	11	23	26
oesophagus	10	13	17	10	22	14
breast	10	13	16	1	7	20
prostate	8	8	11	8	20	23
total population						
	total cancer			cardiovascular diseases		
age	45-64	65-74	75+	45-64	65-74	75+
men	1	5	10	2	6	7
women	2	5	7	1	3	5

Notes: total cancer includes cancer of lung, colon, rectum, stomach, oesophagus, breast and prostate, plus melanoma; prevalence rates for newly diagnosed cases are not specified by gender. Sources: Coebergh et al., 1999; VTV, 1997.

Appendix 4 Mailing list

1. Directeur-Generaal RIVM
2. Dr HJ Schneider, Directeur-Generaal van de Volksgezondheid
3. Prof dr JJ Sixma, Voorzitter van de Gezondheidsraad
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6. Dr JWW Coebergh (IKZ&EUR)
7. Dr BA van Hout (EUR)
8. Dr CJL Murray (WHO)
9. Drs LW Niessen (EUR)
10. Dr GJ van Oortmarssen (EUR)
11. Dr MG Roberts (Wallaceville Animal Research Centre)
12. Dr MI Tobias (Ministry of Health New Zealand)
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25. Dr PGN Kramers
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33. Bureau Rapportenregistratie
34. Bibliotheek RIVM
- 35-50. Bureau Rapportenbeheer
- 51-60. Reserve exemplaren